

ATTORNEY'S DOCKET NUMBER  
9267-16 (163794)

## TRANSMITTAL LETTER TO THE UNITED

STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371

U.S. APPLICATION NO.

(If known, see 37 C.F.R. 1.5)

EXPRESS MAIL NO.

10/031688

INTERNATIONAL APPLICATION NO.

PCT/GB01/02287

INTERNATIONAL FILING DATE

May 22, 2001

PRIORITY DATE CLAIMED

May 24, 2000

TITLE OF INVENTION: AUTOMATED ASTROLOGICAL DATA RETRIEVAL AND ANALYSIS

APPLICANT(S) FOR DO/EO/US: Michael David Geary

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
  2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
  3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371 (b) and PCT Articles 22 and 39(1).
  4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
  5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
    - a. ☒ is transmitted herewith (required only if not transmitted by the International Bureau).
    - b. ☐ has been transmitted by the International Bureau (as noted in PCT/IB/308).
    - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
  6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
  7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
    - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
    - b. ☐ have been transmitted by the International Bureau.
    - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
    - d. ☒ have not been made and will not be made.
  8. ☒ Amendments to the claims of the International Application under PCT Article 34.
    - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
    - b. ☐ have been transmitted by the International Bureau (as noted in PCT/IPEA/416).
    - c. ☒ have not been made; however, the time limit for making such amendments has NOT expired.
    - d. ☐ have not been made and will not be made.
  9. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
  10. ☒ A copy of the unsigned oath or declaration of the inventors. (35 U.S.C. 371 (c)(4)).
  11. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).
- Items 12. to 16. below concern other document(s) or information included:
12. ☒ An information Disclosure Statement under 37 CFR 1.97 and 1.98.
  13. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
  14. ☒ A FIRST preliminary amendment.  
☐ A SECOND or SUBSEQUENT preliminary amendment.
  15. ☐ A substitute specification.
  16. ☐ A change of power of attorney and/or address letter.
  17. ☒ Other items or information:  
Certificate of Express Mailing Under 37 CFR 1.10

U.S. APPLICATION NO. 10/031688

INTERNATIONAL APPLICATION NO.  
PCT/GB01/02287ATTORNEY'S DOCKET NUMBER:  
9267-16 (163794)18. ☒ The following fees are submitted:

Basic National Fee (27 CFR 1.492(a)(1)-(5)):  
Search Report has been prepared by the EPO or JPO ..... \$860.00

International preliminary examination fee paid to USPTO (37 CFR 1.482) .....

No international preliminary examination fee paid to USPTO (37 CFR 1.482)  
but international search fee paid to USPTO (37 CFR 1.445(a)(2)) .....

Neither international preliminary examination fee (37 CFR 1.482) nor  
international search fee (37 CFR 1.445(a)(2)) paid to USPTO .....

International preliminary examination fee paid to USPTO (37 CFR 1.482)  
and all claims satisfied provisions of PCT Article 33(2)-(4) .....

CALCULATIONS | PTO USE  
ONLY

ENTER APPROPRIATE BASIC FEE AMOUNT =

\$ 860.00

Surcharge of \$130.00 for furnishing the oath or declaration later than ☒ 20 ☐ 30  
months from the earliest claimed priority date (37 CFR 1.492(e)).

\$ 130.00

Claims	Number Filed	Number Extra	Rate		
Total Claims	28 - 20 =	8	x \$18.00	\$144.00	
Independent Claims	6 - 3 =	3	x \$84.00	\$252.00	
Multiple dependent claim(s) (if applicable)			+ \$280.00	\$	
TOTAL OF ABOVE CALCULATIONS =				\$ 1386.00	

Reduction by 1/2 for filing by small entity, applicable. Applicant is a Small Entity.

\$

SUBTOTAL = \$ 1386.00

Processing fee of \$130.00 for furnishing the English translation later than ☐ 20 ☐ 30  
months from the earliest claimed priority date (37 CFR 1.492(f)).

\$

TOTAL NATIONAL FEE = \$ 1386.00

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an  
appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property

\$

TOTAL FEES ENCLOSED = \$ 1386.00

Amount to be  
refunded

\$

Amount to be  
charged

\$ 1386.00

a. ☒ A check in the amount of \$ 1386.00 to cover the above fees is enclosed.b. ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ to cover the above fee. A duplicate copy of this sheet is enclosed.c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 500573. A duplicate of this sheet is enclosed.

NOTE: Where an appropriate time limit under 367 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

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23973

PATENT TRADEMARK OFFICE

SIGNATURE

ROBERT E. CANNUSCIO

NAME

36469

REGISTRATION NUMBER